

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2021 or tax year beginning

, and ending

Name of foundation
The Mimi Foundation

Number and street (or P.O. box number if mail is not delivered to street address)
P.O. Box 660

City or town, state or province, country, and ZIP or foreign postal code
Scarborough, ME 04070-0660

Room/suite

A Employer identification number
20-3957984

B Telephone number
(207) 991-2723

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization:
 Section 4947(a)(1) nonexempt charitable trust
 Section 501(c)(3) exempt private foundation
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ **7,950,138.**

J Accounting method:
 Cash
 Accrual
 Other (specify) _____
 (Part I, column (d), must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 4,196,780. | | N/A | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 15,014. | 15,014. | | |
| | 4 Dividends and interest from securities | 61,894. | 61,894. | | Statement 1 |
| | 5a Gross rents | | | | Statement 2 |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 1,549,661. | | | |
| | b Gross sales price for all assets on line 6a | 4,999,675. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 1,549,661. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | | | | |
| 12 Total. Add lines 1 through 11 | 5,823,349. | 1,626,569. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees Stmt 3 | 160. | 0. | | 160. |
| | b Accounting fees Stmt 4 | 2,750. | 1,375. | | 1,375. |
| | c Other professional fees Stmt 5 | 20,769. | 20,769. | | 0. |
| | 17 Interest | | | | |
| | 18 Taxes Stmt 6 | 2,640. | 951. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses Stmt 7 | 895. | 0. | | 895. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 27,214. | 23,095. | | 2,430. |
| | 25 Contributions, gifts, grants paid | 299,350. | | | 339,350. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 326,564. | 23,095. | | 341,780. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | 5,496,785. | | | | |
| b Net investment income (if negative, enter -0-) | | 1,603,474. | | | |
| c Adjusted net income (if negative, enter -0-) | | | N/A | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. | | |
|--|---|--|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable | 174,729. | 265,855. | 265,855. |
| | Less: allowance for doubtful accounts | | | |
| | 4 Pledges receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations Stmt 8 | 317,293. | 925,560. | 925,560. |
| | b Investments - corporate stock Stmt 9 | 2,341,265. | 5,283,945. | 5,283,945. |
| | c Investments - corporate bonds Stmt 10 | 313,640. | 1,293,291. | 1,293,291. |
| | 11 Investments - land, buildings, and equipment: basis | | | |
| Less: accumulated depreciation | | | | |
| 12 Investments - mortgage loans Stmt 11 | 354,307. | 173,007. | 173,007. | |
| 13 Investments - other | | | | |
| 14 Land, buildings, and equipment: basis | | | | |
| Less: accumulated depreciation | | | | |
| 15 Other assets (describe Accrued interest) | 3,390. | 8,480. | 8,480. | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 3,504,624. | 7,950,138. | 7,950,138. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | 105,000. | 65,000. | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe) | | | |
| 23 Total liabilities (add lines 17 through 22) | 105,000. | 65,000. | | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input type="checkbox"/> | | | |
| | 24 Net assets without donor restrictions and complete lines 24, 25, 29, and 30. | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> | | | |
| | 26 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | 3,399,624. | 7,885,138. | |
| 29 Total net assets or fund balances | 3,399,624. | 7,885,138. | | |
| 30 Total liabilities and net assets/fund balances | 3,504,624. | 7,950,138. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,399,624. |
| 2 Enter amount from Part I, line 27a | 2 | 5,496,785. |
| 3 Other increases not included in line 2 (itemize) Unrealized gain on investments | 3 | 803,607. |
| 4 Add lines 1, 2, and 3 | 4 | 9,700,016. |
| 5 Decreases not included in line 2 (itemize) FMV Adjustment on donated securities | 5 | 1,814,878. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 7,885,138. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1a Publicly Traded Securities | D | | |
| b Publicly Traded Securities | P | | |
| c Capital Gains Dividends | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|-----------------------|--|---|--|
| a 2,695,264. | | 1,994,088. | 701,176. |
| b 2,283,888. | | 1,455,926. | 827,962. |
| c 20,523. | | | 20,523. |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|------------------------|--------------------------------------|---|---|
| a | | | 701,176. |
| b | | | 827,962. |
| c | | | 20,523. |
| d | | | |
| e | | | |

| | | |
|---|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 1,549,661. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | 3 | N/A |

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

| | | |
|--|----|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) | 1 | 22,288. |
| b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0. |
| 3 Add lines 1 and 2 | 3 | 22,288. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 22,288. |
| 6 Credits/Payments: | | |
| a 2021 estimated tax payments and 2020 overpayment credited to 2021 | 6a | 3,600. |
| b Exempt foreign organizations - tax withheld at source | 6b | 0. |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 0. |
| d Backup withholding erroneously withheld | 6d | 0. |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 3,600. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 0. |
| 9 Tax due. If the total of lines 5 and 8 is more than 7, enter amount owed | 9 | 18,688. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 Enter the amount of line 10 to be: Credited to 2022 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VI-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | N/A | |
| 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>ME</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | X | |
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | X | |
| 14 The books are in care of ▶ <u>Karen Schacht</u> Telephone no. ▶ <u>(207) 991-2723</u> Located at ▶ <u>P.O. Box 660, Scarborough, ME</u> ZIP+4 ▶ <u>04070-0660</u> | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year | | |
| 16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | |

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|-----|----|
| 1a During the year, did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | | X |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | | X |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | | X |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | | X |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | | X |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | N/A | |
| c Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/> | | |
| d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? If "Yes," list the years | | X |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | N/A | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | | X |
| b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) | N/A | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? | | X |

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | Yes | No |
|--|--------------------------|----|
| 5a During the year, did the foundation pay or incur any amount to: | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | | X |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | | X |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | | X |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | | X |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | | X |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A | |
| c Organizations relying on a current notice regarding disaster assistance, check here | <input type="checkbox"/> | |
| d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d). | N/A | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | X |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. | | X |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | | X |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | | X |

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| Julia H. DiNapoli P.O. Box 660 Scarborough, ME 04070 | President 1.00 | 0. | 0. | 0. |
| Courtney H. McCollum P.O. Box 660 Scarborough, ME 04070 | Vice President 1.00 | 0. | 0. | 0. |
| Karen K. Schacht P.O. Box 660 Scarborough, ME 04070 | Treasurer 1.00 | 0. | 0. | 0. |
| Calvin E. True, Esq. P.O. Box 660 Scarborough, ME 04070 | Secretary 1.00 | 0. | 0. | 0. |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services 0

Part VIII-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part VIII-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A | |
| 2 | |
| 3 All other program-related investments. See instructions. | |

Total. Add lines 1 through 3 0.

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | 1a | 4,810,845. |
| b | Average of monthly cash balances | 1b | 258,784. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 5,069,629. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 5,069,629. |
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions) | 4 | 76,044. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 | 5 | 4,993,585. |
| 6 | Minimum investment return. Enter 5% (0.05) of line 5 | 6 | 249,679. |

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

| | | | |
|----|---|----|----------|
| 1 | Minimum investment return from Part IX, line 6 | 1 | 249,679. |
| 2a | Tax on investment income for 2021 from Part V, line 5 | 2a | 22,288. |
| b | Income tax for 2021. (This does not include the tax from Part V.) | 2b | |
| c | Add lines 2a and 2b | 2c | 22,288. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 227,391. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 227,391. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 | 7 | 227,391. |

Part XI Qualifying Distributions (see instructions)

| | | | |
|--|---|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 341,780. |
| b | Program-related investments - total from Part VIII-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4 | 4 | 341,780. |

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Part XII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2020 | (c) 2020 | (d) 2021 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2021 from Part X, line 7 | | | | 227,391. |
| 2 Undistributed income, if any, as of the end of 2021: | | | | |
| a Enter amount for 2020 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2021: | | | | |
| a From 2016 | 52,007. | | | |
| b From 2017 | 25,462. | | | |
| c From 2018 | 45,032. | | | |
| d From 2019 | 28,567. | | | |
| e From 2020 | 154,850. | | | |
| f Total of lines 3a through e | 305,918. | | | |
| 4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ | 341,780. | | | |
| a Applied to 2020, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2021 distributable amount | | | | 227,391. |
| e Remaining amount distributed out of corpus | 114,389. | | | |
| 5 Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 420,307. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2016 not applied on line 5 or line 7 | 52,007. | | | |
| 9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a | 368,300. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2017 | 25,462. | | | |
| b Excess from 2018 | 45,032. | | | |
| c Excess from 2019 | 28,567. | | | |
| d Excess from 2020 | 154,850. | | | |
| e Excess from 2021 | 114,389. | | | |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | Prior 3 years | | (e) Total |
|---|----------|----------|---------------|----------|-----------|
| | (a) 2021 | (b) 2020 | (c) 2019 | (d) 2018 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed | | | | | |
| b 85% (0.85) of line 2a | | | | | |
| c Qualifying distributions from Part XI, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None**
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None**
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
- The Mimi Foundation Trustees, (207) 991-2723
P.O. Box 660, Scarborough, ME 04070-0660**
- b The form in which applications should be submitted and information and materials they should include:
- Applications are accepted at any time. Format is not specified.**
- c Any submission deadlines:
- None**
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- None**

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| All Souls Congregational Church 10 Broadway Bangor, ME 04401 | N/A | PC | General Operating Support | 1,000. |
| American Heart Association 7272 Greenville Avenue Dallas, TX 75231 | N/A | PC | Maine Charter | 50,000. |
| Bangor Symphony Orchestra P.O. Box 1441 Bangor, ME 04402-1441 | N/A | PC | General Operating Support | 28,750. |
| Boothbay Region YMCA P.O. Box 500; 261 Townsend Avenue Boothbay Harbor, ME 04538 | N/A | PC | General Operating Support | 10,000. |
| Boys and Girls Clubs of Southern Maine 277 Cumberland Avenue Portland, ME 04101 | N/A | PC | General Operating Support | 7,500. |
| Total See continuation sheet(s) ▶ 3a | | | | 339,350. |
| b Approved for future payment | | | | |
| None | | | | |
| | | | | |
| Total ▶ 3b | | | | 0. |

Part XV-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | | (d) Amount | (e) Related or exempt function income |
|---|---------------------------|---------------|-------------------------------|---------------|---|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 15,014. | |
| 4 Dividends and interest from securities | | | 14 | 61,894. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,549,661. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 1,626,569. | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | 1,626,569. |

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---------------|--|
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Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Content includes 'N/A' for name and empty rows for descriptions.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?
b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Content includes 'N/A' for name and empty rows for descriptions.

Sign Here: Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: Xamm v. Sobach
Date: 1/4/22
Title: Treasurer

May the IRS discuss this return with the preparer shown below? See Instr.
[X] Yes [] No

Paid Preparer Use Only: Print/Type preparer's name: Connor Smart; Preparer's signature: [Signature]; Date: 03/31/22; Check self-employed: [] if PTIN: P02285543; Firm's name: BAKER NEWMAN & NOYES LLC; Firm's EIN: 01-0494526; Firm's address: P.O. BOX 507, PORTLAND, ME 04112-0507; Phone no.: (207) 879-2100

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-----------------|
| Camp Beech Cliff P.O. Box 381 Mount Desert, ME 04660-0381 | N/A | PC | General Operating Support | 1,000. |
| Camp Sunshine at Sebago Lake, Inc. 35 Acadia Road Casco, ME 04015 | N/A | PC | General Operating Support | 2,000. |
| Center for Grieving Children 555 Forest Avenue Portland, ME 04101 | N/A | PC | General Operating Support | 1,000. |
| Children's Center Early Intervention and Family Support 1 Alden Avenue Augusta, ME 04330 | N/A | PC | General Operating Support | 1,000. |
| Children's Museum and Theatre of Maine 250 Thompson's Point Road Portland, ME 04102 | N/A | PC | General Operating Support | 25,000. |
| FOV Maine P.O. Box 943 Camden, ME 04843 | N/A | PC | General Operating Support | 2,500. |
| Gathering Place P.O. Box 171 Brunswick, ME 04011 | N/A | PC | General Operating Support | 1,000. |
| Good Theatre 7 Hampton Road Cape Elizabeth, ME 04107 | N/A | PC | General Operating Support | 1,000. |
| Hospice of Southern Maine 390 US Route 1 Scarborough, ME 04074 | N/A | PC | General Operating Support | 7,500. |
| Hospice Regatta of Maine 14 McKenzie Avenue Ellsworth, ME 04605 | N/A | PC | General Operating Support | 2,500. |
| Total from continuation sheets | | | | 242,100. |

The Mimi Foundation

20-3957984

Part XIV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------------|
| Husson University 1 College Circle Bangor, ME 04401-2929 | N/A | PC | General Operating Support | 5,000. |
| Kennebec Valley Mental Health Center (a/k/a Kennebec Behavioral Health) 67 Rustis Parkway Waterville, ME 04901 | N/A | PC | General Operating Support | 1,000. |
| Kennebec Valley YMCA 31 Union Street Augusta, ME 04330 | N/A | PC | General Operating Support | 1,000. |
| Maine Behavioral Healthcare 78 Atlantic Place South Portland, ME 04106 | N/A | PC | General Operating Support | 20,000. |
| Maine Discovery Museum 74 Main Street Bangor, ME 04401 | N/A | PC | General Operating Support | 2,000. |
| Maine Justice Foundation 40 Water Street Hallowell, ME 04347 | N/A | PC | General Operating Support | 10,000. |
| Merryspring, Inc. P.O. Box 893 Camden, ME 04843 | N/A | PC | General Operating Support | 1,000. |
| New England Music Camp Association 8 Golden Rod Lane Sidney, ME 04330-1954 | N/A | PC | General Operating Support | 5,000. |
| New Gloucester Maine Historical Center 178 A Gloucester Hill Road New Gloucester, ME 04260 | N/A | PC | General Operating Support | 1,000. |
| Northern Light Health (f/k/a Eastern Maine Healthcare Systems) 43 Whiting Hill Road Brewer, ME 04412-1005 | N/A | PC | General Operating Support | 5,000. |
| Total from continuation sheets | | | | 5,000. |

Part XIV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|----------|
| Olympia Snowe Women's Leadership Institute 1 Canal Plaza, Suite 501 Portland, ME 04101 | N/A | PC | General Operating Support | 5,000. |
| Partners for Peace P.O. Box 653 Bangor, ME 04402 | N/A | PC | General Operating Support | 5,000. |
| Penobscot Theatre Company 131 Main Street Bangor, ME 04401 | N/A | PC | General Operating Support | 105,500. |
| Pine Tree Society, Inc. P.O. Box 518; 149 Front Street Bath, ME 04530 | N/A | PC | General Operating Support | 8,800. |
| Portland Children's Dyslexia Center 1897 Congress Street Portland, ME 04102-1903 | N/A | PC | General Operating Support | 500. |
| Portland School of Ballet 517 Forest Avenue, Suite 2 Portland, ME 04101-1503 | N/A | PC | General Operating Support | 1,000. |
| Ronald McDonald House Charities of Maine 250 Brackett Street Portland, ME 04102 | N/A | PC | General Operating Support | 1,500. |
| Sanctuary on Church Street 50 Church Street Ellsworth, ME 04605 | N/A | PC | General Operating Support | 5,000. |
| Society for the Prevention of Cruelty to Animals of Hancock County 141 Bar Harbor Road Trenton, ME 04605 | N/A | PC | General Operating Support | 2,500. |
| Susan L. Curtis Foundation 1321 Washington Avenue, Suite 104 Portland, ME 04103 | N/A | PC | General Operating Support | 1,300. |
| Total from continuation sheets | | | | |

Part XIV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| University of Maine at Orono 168 College Avenue Orono, ME 04469 | N/A | GOV | General Operating Support | 5,000. |
| University of Southern Maine 96 Falmouth Street Portland, ME 04103 | N/A | GOV | General Operating Support | 5,000. |
| Winterkids Education Foundation P.O. Box 7566 Portland, ME 04112 | N/A | PC | General Operating Support | 500. |
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| Total from continuation sheets | | | | |

123631
11-18-21

Schedule B
(Form 990)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990 or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Name of the organization

The Mimi Foundation

Employer identification number

20-3957984

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021)

| | |
|--|---|
| Name of organization The Mimi Foundation | Employer identification number 20-3957984 |
|--|---|

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|---|
| 1 | DRC Holdings, Inc. P.O. Box 1010 Scarborough, ME 04070-1010 | \$ 3,996,780. | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.) |
| 2 | Hilda H. McCollum Irrevocable Trust P.O. Box 1090 Scarborough, ME 04070-1090 | \$ 200,000. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |

Name of organization

Employer identification number

The Mimi Foundation

20-3957984

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|------------------------------|--|---|----------------------|
| 1 | Various publicly traded securities | \$ 3,996,780. | 09/02/21 |
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Name of organization

Employer identification number

The Mimi Foundation

20-3957984

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
| | | | |
| | | | |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| | | | |
| | | | |
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| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| | | | |

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

| Source | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| Fidelity accounts | 15,014. | 15,014. | |
| Total to Part I, line 3 | 15,014. | 15,014. | |

Form 990-PF Dividends and Interest from Securities Statement 2

| Source | Gross Amount | Capital Gains Dividends | (a) Revenue Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Fidelity accounts | 82,417. | 20,523. | 61,894. | 61,894. | |
| To Part I, line 4 | 82,417. | 20,523. | 61,894. | 61,894. | |

Form 990-PF Legal Fees Statement 3

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Legal fees | 160. | 0. | | 160. |
| To Fm 990-PF, Pg 1, ln 16a | 160. | 0. | | 160. |

Form 990-PF Accounting Fees Statement 4

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Accounting fees | 2,750. | 1,375. | | 1,375. |
| To Form 990-PF, Pg 1, ln 16b | 2,750. | 1,375. | | 1,375. |

Form 990-PF Other Professional Fees Statement 5

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Investment fees | 20,769. | 20,769. | | 0. |
| To Form 990-PF, Pg 1, ln 16c | 20,769. | 20,769. | | 0. |

Form 990-PF Taxes Statement 6

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Foreign taxes paid | 951. | 951. | | 0. |
| Excise taxes | 1,689. | 0. | | 0. |
| To Form 990-PF, Pg 1, ln 18 | 2,640. | 951. | | 0. |

Form 990-PF Other Expenses Statement 7

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Office expenses | 895. | 0. | | 895. |
| To Form 990-PF, Pg 1, ln 23 | 895. | 0. | | 895. |

| | | | |
|-------------|--|-----------|---|
| Form 990-PF | U.S. and State/City Government Obligations | Statement | 8 |
|-------------|--|-----------|---|

| Description | U.S. Gov't | Other Gov't | Book Value | Fair Market Value |
|--|---------------|----------------|------------|----------------------|
| United States Treasury Notes | X | | 925,560. | 925,560. |
| Total U.S. Government Obligations | | | 925,560. | 925,560. |
| Total State and Municipal Government Obligations | | | | |
| Total to Form 990-PF, Part II, line 10a | | | 925,560. | 925,560. |

| | | | |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Stock | Statement | 9 |
|-------------|-----------------|-----------|---|

| Description | Book Value | Fair Market Value |
|---------------|------------|----------------------|
| 75 shs AON | 22,542. | 22,542. |
| 62 shs TEAM | 23,640. | 23,640. |
| 96 shs ACN | 39,797. | 39,797. |
| 116 shs ICLR | 35,925. | 35,925. |
| 115 shs STE | 27,992. | 27,992. |
| 363 shs ALC | 31,625. | 31,625. |
| 67 shs ASML | 53,341. | 53,341. |
| 133 shs RACE | 34,423. | 34,423. |
| 887 shs AAGIY | 35,770. | 35,770. |
| 926 shs ADYEV | 24,342. | 24,342. |
| 329 shs AMADY | 22,314. | 22,314. |
| 454 shs ATLYK | 31,380. | 31,380. |
| 342 shs CSLLY | 36,144. | 36,144. |
| 524 shs CP | 37,697. | 37,697. |
| 349 shs DSDVY | 40,755. | 40,755. |
| 47 shs EPAM | 31,417. | 31,417. |
| 129 shs EVVTY | 18,326. | 18,326. |
| 844 shs EXPGY | 41,519. | 41,519. |
| 208 shs LVMUY | 34,393. | 34,393. |
| 670 shs LSRCY | 41,065. | 41,065. |
| 61 shs LNNGY | 16,695. | 16,695. |
| 391 shs LZAGY | 32,683. | 32,683. |
| 78 shs LULU | 30,533. | 30,533. |
| 18 shs MTD | 30,550. | 30,550. |
| 211 shs NSRGY | 29,512. | 29,512. |
| 443 shs PRNDY | 21,310. | 21,310. |
| 150 shs RMD | 39,072. | 39,072. |
| 948 shs SHZHY | 18,227. | 18,227. |
| 23 shs SHOP | 31,680. | 31,680. |
| 804 shs SXYAY | 33,549. | 33,549. |
| 399 shs TSM | 48,004. | 48,004. |

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| 786 shs WXXWY | 18,661. | 18,661. |
| 369 shs ETN | 63,771. | 63,771. |
| 293 shs FERG | 52,561. | 52,561. |
| 851 shs JCI | 69,195. | 69,195. |
| 1288 shs AAGIY | 51,941. | 51,941. |
| 185 shs BABA | 21,976. | 21,976. |
| 33 shs GOOG | 95,488. | 95,488. |
| 49 shs AMZN | 163,383. | 163,383. |
| 394 shs AMAT | 62,000. | 62,000. |
| 209 shs BRKB | 62,491. | 62,491. |
| 687 shs CI | 157,756. | 157,756. |
| 386 shs CVS | 39,820. | 39,820. |
| 924 shs COF | 134,063. | 134,063. |
| 1221 shs CARR | 66,227. | 66,227. |
| 447 shs YOU | 14,022. | 14,022. |
| 992 shs DAR | 68,736. | 68,736. |
| 51 shs FB | 17,154. | 17,154. |
| 75 shs HUM | 34,790. | 34,790. |
| 209 shs IAC | 27,318. | 27,318. |
| 1249 shs INTC | 64,324. | 64,324. |
| 769 shs JD | 53,884. | 53,884. |
| 64 shs MKL | 78,976. | 78,976. |
| 296 shs MSFT | 99,551. | 99,551. |
| 395 shs ORCL | 34,448. | 34,448. |
| 1308 shs OC | 118,374. | 118,374. |
| 883 shs DGX | 152,768. | 152,768. |
| 3271 shs QUOT | 24,271. | 24,271. |
| 842 shs RTX | 72,463. | 72,463. |
| 193 shs SAP | 27,041. | 27,041. |
| 2349 shs TECK | 67,698. | 67,698. |
| 230 shs TXN | 43,348. | 43,348. |
| 2260 shs USB | 126,944. | 126,944. |
| 345 shs UNH | 173,238. | 173,238. |
| 8668 shs VTRS | 117,278. | 117,278. |
| 2136 shs VMEQ | 38,363. | 38,363. |
| 70 shs VMW | 8,112. | 8,112. |
| 952 shs VRM | 10,272. | 10,272. |
| 3797 shs WFC | 182,180. | 182,180. |
| 6091.757 shs MDIIX | 152,599. | 152,599. |
| 143.645 shs MFEIX | 27,936. | 27,936. |
| 2981.489 shs FNPFX | 198,001. | 198,001. |
| 827.423 shs FNWFX | 71,175. | 71,175. |
| 519.696 shs SVFYX | 36,015. | 36,015. |
| 125 shs PDP | 11,815. | 11,815. |
| 200 shs VXF | 36,572. | 36,572. |
| 294 shs VTI | 70,983. | 70,983. |
| 23 shs TEAM | 8,770. | 8,770. |
| 33 shs ACN | 13,680. | 13,680. |
| 72 shs GRMN | 9,804. | 9,804. |
| 11 shs ASML | 8,758. | 8,758. |
| 59 shs AFG | 8,102. | 8,102. |
| 2005 shs AAPL | 356,028. | 356,028. |
| 271 shs BBWI | 18,913. | 18,913. |
| 125 shs COST | 70,963. | 70,963. |

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| 325 shs DHR | 106,928. | 106,928. |
| 155 shs DVN | 6,828. | 6,828. |
| 11 shs DXCM | 5,906. | 5,906. |
| 31 shs FTNT | 11,141. | 11,141. |
| 190 shs HD | 78,852. | 78,852. |
| 200 shs HON | 41,702. | 41,702. |
| 11 shs IDXX | 7,243. | 7,243. |
| 24 shs INTU | 15,437. | 15,437. |
| 124 shs JNJ | 21,213. | 21,213. |
| 41 shs LLY | 11,325. | 11,325. |
| 360 shs MRVL | 31,496. | 31,496. |
| 460 shs NEE | 42,946. | 42,946. |
| 36 shs NVDA | 10,588. | 10,588. |
| 31 shs TGT | 7,175. | 7,175. |
| 265 shs V | 57,428. | 57,428. |
| 15 shs WST | 7,035. | 7,035. |
| 137 shs NSA | 9,480. | 9,480. |
| Total to Form 990-PF, Part II, line 10b | 5,283,945. | 5,283,945. |

Form 990-PF Corporate Bonds Statement 10

| Description | Book Value | Fair Market Value |
|--|------------|-------------------|
| 22858.393 shs FXICX | 246,185. | 246,185. |
| 23730.813 shs FXIMX | 245,139. | 245,139. |
| 4000 shs Berkshire Hathaway Note CUSIP 084670BR8 | 4,084. | 4,084. |
| 12000 shs Apple Note CUSIP 037833CG3 | 12,504. | 12,504. |
| 14000 shs Microsoft Note CUSIP 594918BB9 | 14,662. | 14,662. |
| 9000 shs Enterprise Products Note CUSIP 29379VBE2 | 9,567. | 9,567. |
| 19000 shs CitiGroup Note CUSIP 172967JP7 | 20,188. | 20,188. |
| 19000 shs AT&T Note CUSIP 00206RCN0 | 20,080. | 20,080. |
| 19000 shs Oracle Corp Note CUSIP 68389XBC8 | 19,735. | 19,735. |
| 20000 shs TD Bank Note CUSIP 89114QCK2 | 19,474. | 19,474. |
| 18000 shs Goldman Sachs Note CUSIP 38143U8H7 | 19,394. | 19,394. |
| 19000 shs Wells Fargo Note CUSIP 95000U2N2 | 19,344. | 19,344. |
| 19000 shs Fiserv Note CUSIP 337738AT5 | 20,100. | 20,100. |
| 9000 shs BP Note CUSIP 05565QDN5 | 9,675. | 9,675. |
| 17000 shs CVS Note CUSIP 126650CX6 | 19,092. | 19,092. |
| 18000 shs Comcast Note CUSIP 20030NCH2 | 19,749. | 19,749. |
| 20000 shs Lowes Note CUSIP 548661ED5 | 19,615. | 19,615. |
| 9000 shs Shell Note CUSIP 822582CB6 | 10,066. | 10,066. |
| 17000 shs Abbvie Note CUSIP 00287YBF5 | 19,239. | 19,239. |
| 9000 shs Anheuser-Busch Note CUSIP 035240AQ3 | 10,484. | 10,484. |
| 19000 shs Home Depot Note CUSIP 437076BY7 | 20,259. | 20,259. |
| 19000 shs Verizon Note CUSIP 92343VFE9 | 20,124. | 20,124. |
| 19000 shs JPMorgan Chase Note CUSIP 46647PBE5 | 19,541. | 19,541. |
| 10000 shs Morgan Stanley Note CUSIP 6174468L6 | 10,241. | 10,241. |
| 20000 shs American Tower Corp Note CUSIP 03027XBM1 | 20,083. | 20,083. |

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| 20000 shs Duke Energy Note CUSIP 26441CBL8 | 20,046. | 20,046. |
| 13773.401 shs BSIIX | 139,800. | 139,800. |
| 1063 shs AGG | 121,267. | 121,267. |
| 1776 shs BSV | 143,554. | 143,554. |
| Total to Form 990-PF, Part II, line 10c | 1,293,291. | 1,293,291. |

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|-------------|----------------|--------------|
| Form 990-PF | Mortgage Loans | Statement 11 |
|-------------|----------------|--------------|

| Description | Book Value | Fair Market Value |
|--|------------|-------------------|
| 3000 shs Uniform MBS Pool # MA 1732 | 150. | 150. |
| 5000 shs Uniform MBS Pool # AS0907 | 674. | 674. |
| 3000 shs Uniform MBS Pool # AV2326 | 464. | 464. |
| 2000 shs Uniform MBS Pool # AV6368 | 172. | 172. |
| 1000 shs Uniform MBS Pool # AZ0084 | 219. | 219. |
| 2000 shs Uniform MBS Pool # MA3489 | 369. | 369. |
| 1000 shs Fedl Home LN MTG CRP Pool # J39815 | 436. | 436. |
| 2000 shs Uniform MBS Pool # SB0096 | 664. | 664. |
| 13000 shs Uniform MBS Pool # 310104 | 967. | 967. |
| 7000 shs Uniform MBS Pool # AB0035 | 251. | 251. |
| 24000 shs Uniform MBS Pool # AI1888 | 1,588. | 1,588. |
| 2000 shs Uniform MBS Pool # AJ7696 | 179. | 179. |
| 1000 shs Uniform MBS Pool # AU6902 | 39. | 39. |
| 2000 shs Uniform MBS Pool # AW0744 | 121. | 121. |
| 5000 shs Uniform MBS Pool # AL5213 | 734. | 734. |
| 4000 shs Uniform MBS Pool # AS4168 | 839. | 839. |
| 2000 shs Uniform MBS Pool # AX4887 | 396. | 396. |
| 6000 shs Fedl Home LN MTG CRP Pool # G08623 | 965. | 965. |
| 6000 shs Fedl Home LN MTG CRP Pool # G08624 | 884. | 884. |
| 7000 shs Fedl Home LN MTG CRP Pool # C09071 | 650. | 650. |
| 2000 shs Fedl Home LN MTG CRP Pool # Q31605 | 348. | 348. |
| 11000 shs Fedl Home LN MTG CRP Pool # G07961 | 2,576. | 2,576. |
| 3000 shs Uniform MBS Pool # AX7732 | 541. | 541. |
| 3000 shs Uniform MBS Pool # AS4783 | 1,036. | 1,036. |
| 13000 shs Fedl Home LN MTG CRP Pool # V81760 | 2,482. | 2,482. |
| 13000 shs Fedl Home LN MTG CRP Pool # G08659 | 2,373. | 2,373. |
| 2000 shs Uniform MBS Pool # AS5942 | 334. | 334. |
| 3000 shs Uniform MBS Pool # BA2889 | 286. | 286. |
| 2000 shs Fedl Home LN MTG CRP Pool # G08682 | 364. | 364. |
| 3000 shs Uniform MBS Pool # AS6520 | 632. | 632. |
| 2000 shs Fedl Home LN MTG CRP Pool # G08700 | 364. | 364. |
| 1000 shs Fedl Home LN MTG CRP Pool # G08713 | 140. | 140. |
| 2000 shs Uniform MBS Pool # MA2671 | 463. | 463. |
| 1000 shs Uniform MBS Pool # AS7796 | 275. | 275. |
| 14000 shs Fedl Home LN MTG CRP Pool # G08726 | 3,983. | 3,983. |
| 1000 shs Uniform MBS Pool # AS8700 | 206. | 206. |
| 2000 shs Uniform MBS Pool # BM1066 | 484. | 484. |
| 7000 shs Uniform MBS Pool # MA2920 | 2,712. | 2,712. |
| 1000 shs Uniform MBS Pool # AS9994 | 208. | 208. |

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| 8000 shs Uniform MBS Pool # MA3026 | 1,626. | 1,626. |
| 1000 shs Uniform MBS Pool # MA3027 | 196. | 196. |
| 2000 Fedl Home LN MTG CRP Pool # G08775 | 445. | 445. |
| 2000 shs Uniform MBS Pool # MA3120 | 453. | 453. |
| 2000 shs Uniform MBS Pool # MA3239 | 507. | 507. |
| 2000 shs Fedl Home LN MT CRP Pool # G08799 | 503. | 503. |
| 1000 shs Uniform MBS Pool # MA3306 | 229. | 229. |
| 2000 shs Uniform MBS Pool # MA3332 | 481. | 481. |
| 1000 shs Uniform MBS Pool # MA3358 | 191. | 191. |
| 1000 shs Uniform MBS Pool # BK6578 | 105. | 105. |
| 2000 shs Uniform MBS Pool # MA3443 | 303. | 303. |
| 5000 shs Uniform MBS Pool # MA3466 | 885. | 885. |
| 2000 shs Uniform MBS Pool # MA3494 | 320. | 320. |
| 3000 shs Uniform MBS Pool # MA3495 | 461. | 461. |
| 2000 Fedl Home LN MTG CRP Pool # G08847 | 287. | 287. |
| 18000 shs Uniform MBS Pool # FM3278 | 6,283. | 6,283. |
| 3000 shs Uniform MBS Pool # MA3521 | 468. | 468. |
| 2000 shs Uniform MBS Pool # BN0340 | 352. | 352. |
| 1000 Fedl Home LN MTG CRP Pool # G08858 | 133. | 133. |
| 1000 shs Uniform MBS Pool # MA3563 | 135. | 135. |
| 2000 shs Uniform MBS Pool # BM5485 | 331. | 331. |
| 4000 shs Uniform MBS Pool # FM1598 | 699. | 699. |
| 1000 shs Uniform MBS Pool # MA3592 | 120. | 120. |
| 3000 shs Uniform MBS Pool # CA3225 | 641. | 641. |
| 93000 shs Uniform MBS Pool # FM3664 | 28,447. | 28,447. |
| 2000 shs Uniform MBS Pool # MA3614 | 302. | 302. |
| 2000 shs Uniform MBS Pool # ZT1952 | 339. | 339. |
| 4000 shs Uniform MBS Pool # MA3664 | 749. | 749. |
| 1000 shs Uniform MBS Pool # BK8753 | 342. | 342. |
| 7000 shs Uniform MBS Pool # MA3686 | 1,243. | 1,243. |
| 2000 shs Uniform MBS Pool # SD8003 | 433. | 433. |
| 11000 shs Uniform MBS Pool # CA3866 | 2,688. | 2,688. |
| 29000 shs Uniform MBS Pool # CA3872 | 6,527. | 6,527. |
| 1000 shs Uniform MBS Pool # MA3692 | 183. | 183. |
| 1000 shs Uniform MBS Pool # CA4074 | 292. | 292. |
| 9000 shs Uniform MBS Pool # MA3744 | 1,911. | 1,911. |
| 2000 shs Uniform MBS Pool # MA3746 | 474. | 474. |
| 2000 shs Uniform MBS Pool # MA3775 | 456. | 456. |
| 1000 shs Uniform MBS Pool # SD8016 | 276. | 276. |
| 2000 shs Uniform MBS Pool # SD8019 | 585. | 585. |
| 5000 shs Uniform MBS Pool # MA3803 | 1,245. | 1,245. |
| 1000 shs Uniform MBS Pool # SD8030 | 321. | 321. |
| 2000 shs Uniform MBS Pool # SD8032 | 629. | 629. |
| 33000 shs Uniform MBS Pool # MA3871 | 10,294. | 10,294. |
| 2000 shs Uniform MBS Pool # BO7222 | 761. | 761. |
| 1000 shs Uniform MBS Pool # MA3905 | 327. | 327. |
| 2000 shs Uniform MBS Pool # MA3906 | 590. | 590. |
| 1000 shs Uniform MBS Pool # MA3960 | 335. | 335. |
| 3000 shs Uniform MBS Pool # SD8057 | 1,189. | 1,189. |
| 2000 shs Uniform MBS Pool # SD8062 | 918. | 918. |
| 2000 shs Uniform MBS Pool # BP9638 | 1,115. | 1,115. |
| 6000 shs Uniform MBS Pool # FM6039 | 3,535. | 3,535. |
| 4000 shs Uniform MBS Pool # CA6423 | 2,163. | 2,163. |
| 21000 shs Uniform MBS Pool # FM5750 | 10,949. | 10,949. |

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| 54000 shs Uniform MBS Pool # FM7599 | 37,033. | 37,033. |
| 1023 shs Uniform MBS Pool # AX6989 | 2,611. | 2,611. |
| 14000 shs Uniform MBS Pool # MA3745 | 2,863. | 2,863. |
| 13000 shs Uniform MBS Pool # MA3937 | 4,160. | 4,160. |
| Total to Form 990-PF, Part II, line 12 | 173,007. | 173,007. |

Form 990-PF List of Substantial Contributors Statement 12
Part VI-A, Line 10

| Name of Contributor | Address |
|-------------------------------------|---|
| Hilda H. McCollum Irrevocable Trust | P.O. Box 1090 Scarborough, ME 04070-1090 |